

Swan Energy Limited

(Formerly Swan Mills Limited)

6 FELTHAM HOUSE, 2nd FLOOR, 10, J. N. HEREDIA MARG, BALLRD ESTATE, MUMBAI - 400 001 Tel.: +91 22 4058 7300 • Email: info@swan.co.in / invgrv@swan.co.in • website: swan.co.in CIN. L17100MH1909PLC000294

swan/nse/bse 18th October 2024

Dept. of Corporate Compliances,	Dept. of Corporate Service
National Stock Exchange Limited,	BSE Limited,
Exchange Plaza, Plot No. C/1, G Block,	P.J. Tower, Dalal Street,
Bandra -Kurla Complex, Bandra-East,	Fort,
Mumbai – 400 051	Mumbai – 400 001
Symbol: SWANENERGY	Scrip Code: 503310

Dear Sir,

<u>Sub: Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulation,</u> 2018 for the <u>quarter ended on 30th September 2024</u>

We enclose herewith a Certificate in compliance with Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018, received by the Company from M/s Purva Sharegistry (India) Pvt. Ltd, Registrar and Share Transfer Agent of the Company for the quarter ended on 30th September 2024.

The above is for your information and dissemination please.

Thanking you,

Yours faithfully,

For Swan Energy Limited

Deepesh Kedia Company Secretary

Enclosed as above



PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)
GST No. 27AAACP4924D1ZO • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011 Tel: 022-3199 8810 / 4961 4132 • Email: support@purvashare.com • Web: www.purvashare.com

01/10/2024

To,

SWAN ENERGY LIMITED - INE665A01038

Dear Sir/Madam.

Sub: Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that security received from the depository participants for dematerialisation during the quarter ended September, 2024, were confirmed (accepted / rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,

For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

SWAN ENERGY LIMITED - INE665A01038 - Details of Share certificates dematerialised from 01/07/2024 to 30/09/2024

FOLIO	NAME OF SHAREHOLDE R	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALIS ED ON
043240	DOLLY KAPOOR	0301209	837801	837900	100	03/07/2024
049009	MAULIK ROHIT MARTHAK	0302357	1733001	1733700	700	06/07/2024
M00107	MAULIK ROHIT MARTHAK	0302525	1916801	1917600	800	06/07/2024
U0010006	USHABEN NATVERLAL	0304076	1159201	1159700	500	20/07/2024
D0010009	DARSHAN SHASTRI	0304077	765501	765600	100	26/07/2024
049353	PRAVIN JETHMAL MATANI	0302452	1837301	1838100	800	27/07/2024
V0010008	VIJAYA NANASAHEB DEOKATE	0304075	777301	777400	100	30/07/2024
K0010013	KIRTI MAYUR MEHTA	0302317	1607001	1609500	2500	17/08/2024
S0010023	SHAILESH ISHVERLAL KANAKIA	0300937	684001	684700	700	31/08/2024
048663	KALPANA SHETH	0302290	1591201	1591300	100	03/09/2024
R0010014	RUPA BHADRESH SHAH	0304080	1534101	1536600	2500	13/09/2024
S0010022	SANJIV SURENDRABH AI SHAH	0304079	404201	404700	500	23/09/2024